

APPENDIX: University of Dundee: Research Funding Guidance

	University Endorsement	Process
Very Large Grants: Application Costs £3m +	VP Research (VPR) Director of Finance (DF) Dean Associate Dean for Research (ADR) Finance & Policy Committee (F&P)	<ul style="list-style-type: none"> • Where possible please provide a minimum of 6 months' notice to VPR and DF to allow communication and strategic discussion with the University Executive Group, Finance & Policy Committee and the University Court; • Contact either Research Finance Services (RFS; non-commercial research funding) or Research and Innovation Services (RIS; commercial research funding) as soon as possible to discuss costing and submission support requirements; RFS/RIS will advise on terms and conditions of funding and will ensure budget is optimised; • Prior to submission, approval required via PRF/ One University from F & P, VPR, DF and School Dean/ADR.
Medium to Large Grants: Application Costs £50k - £3m	Associate Dean for Research (ADR) <i>Head of Discipline/Division (HoD)*</i> Dean VPR for application costs above £1.25m	<ul style="list-style-type: none"> • Please discuss potential applications at the earliest opportunity with your ADR, Research Admin Lead and/or HoD* as a decision on School support may be possible prior to the process outlined below. • Where possible please provide a minimum of 2 months' notice to HoD* and/or ADR to allow for assessment and peer review at School. In order to support the assessment: <ul style="list-style-type: none"> ○ Contact either Research Finance Services (RFS; non-commercial research funding) or Research and Innovation Services (RIS; commercial research funding) as soon as possible to discuss costing and submission support requirements; RFS/RIS will advise on terms and conditions of funding and will ensure budget is optimised; ○ Research abstract and summary of early stage costs (showing net impact to School) sent to HoD* and ADR; ○ ADR to ensure proper consideration of strategic fit and quality as well as financial impact of application; ○ ADR to confirm School support of application; ○ School process for peer review initiated; • At least 10 working days prior to submission deadline, costings request to RFS (non-commercial)/RIS (commercial); • Prior to submission, approval required via PRF/ One University from HoD*, ADR and Dean; • VPR support/input recommended for applications with significant involvement of more than one School.
Small Grants: Application Costs <£50k	Associate Dean for Research (ADR) <i>Head of Discipline/Division (HoD)*</i> Dean	<p>The University is unable to support high-cost research activities which rely solely on small charity awards, as this funding model does not allow the means to recover a significant fraction of FEC. Therefore, applications for small grants are supported when:</p> <ul style="list-style-type: none"> • PI is an Early Career Researcher (within 5 years of first independent position); or • PI has a well-funded and sustainable research environment, and the application for a smaller award is strategic; or • Research will take place in a low-cost research environment and is conducted by a PI with a track record of high quality research. <p>Applications for small charity awards that fall outside of these three criteria will only be approved in exceptional circumstances.</p>

