

SAFEGUARDING PROTOCOL

14 June 2024



**University
of Dundee**

University of Dundee

Safeguarding Protocol

See Annex 1 for definitions used in this protocol.

INTRODUCTION

1. The University has a duty of care to provide a safe and supportive environment for all members of the University Community.
2. This protocol has been created to ensure that all Safeguarding Concerns, including Serious Issues, are dealt with in a fair and reasonable manner with the best interests of the University Community at the heart of decision making.

PURPOSE OF THE PROTOCOL

3. The purpose of this protocol is to help the University to:
 - promote an environment in which members of the University Community can feel safe, respected and protected from harm and abuse regardless of race, gender, disability, religion, sexual orientation, age or social background; and
 - respond to any Safeguarding Concerns raised about a member of the University Community.

UNIVERSITY COMMUNITY

4. For the purposes of this protocol, the University Community means staff, students, associate staff, associate students, visitors, contractors, research participants and other members of the public who come into contact with the University and its work.

SAFEGUARDING CONCERN

5. A Safeguarding Concern could arise when:
 - an individual is being subject to or is at risk of abuse, neglect or harm;
 - an individual is or is at risk of being radicalised;
 - an individual has abused, neglected or caused harm to someone else; or
 - an individual's behaviour is considered to be a Serious Issue.

SAFEGUARDING ADVISORY GROUP (“THE SAG”)

What is the SAG?

6. The remit of the SAG is to:
 - administer this protocol and to carry out its stated purpose;
 - consider and respond to External Speaker Requests¹; and

¹ Please refer to the External Speakers and Events Protocol.

- make decisions in respect of the University’s legal and statutory obligations in terms of its duty of care.

How is the SAG authorised by the University?

7. The University Secretary has delegated authority to the SAG to:
 - consider and respond to Safeguarding Concerns raised about a student, member of staff or other member of the University Community, in terms of this protocol;
 - consider and respond to External Speaker Requests; and
 - make decisions under the Student Discipline Procedure and the Disciplinary Procedure for staff.

Who are the members of the SAG?

8. The SAG includes the following members:
 - Chair, Director of Legal or their nominee
 - Director of Student Services
 - Assistant Director of Student Services
 - Head of Residential, Conference and Hospitality Services
 - Res-Life Manager
 - Director of UoDIT
 - Deputy Director of Human Resources
 - Director of Future Students
 - Director of Registry
 - Head of Equality, Diversity and Inclusion
 - Head of Campus Security
 - Head of Public Engagement & Major Events
 - President of DUSA²
 - Head of Operations (Student Experience) at DUSA³
 - DUSA Advice and Support Coordinator⁴
 - Convener, University Ethics and Research Committee
 - Head of Safety Services
 - Compliance Manager (Student)

The membership of the SAG may change from time to time depending on changes to staff roles.

How often will the SAG meet?

General

9. The SAG as a whole are expected to meet at least four times per year to discuss and ensure compliance with the University’s statutory, regulatory and legal responsibilities in terms of its safeguarding.

Serious Issues

² As an observer only and not as an authorised officer in terms of the University’s Student Discipline Procedure.

³ As an observer only and not as an authorised officer in terms of the University’s Student Discipline Procedure.

⁴ As an observer only and not as an authorised officer in terms of the University’s Student Discipline Procedure.

10. A sub-group of the SAG will meet at least twice per month if there are any Serious Issues to be reviewed or assessed. Students, members of staff or other members of the University Community may be invited to attend one of these meetings to discuss a particular Safeguarding Concern and allow the SAG to find out more about it so that, if necessary, they can carry out a risk assessment.
11. In addition, the sub-group of SAG may have extra meetings when a Safeguarding Concern is referred to them that requires more immediate attention and cannot wait until the next planned meeting.

What is the Quorum of the SAG?

General

12. A minimum of five members of the SAG shall meet to discuss and ensure compliance with the University's statutory, regulatory and legal duties. This must always include the Chair (or their nominee).

Safeguarding Concerns

13. A minimum of three members of the SAG shall meet as the sub-group to consider and respond to a Safeguarding Concern. The membership may vary depending on the nature of the Safeguarding Concern and/or the individual the Safeguarding Concern relates to.
14. When a Safeguarding Concern relates to a student, at least one member from Student Services must be included in the quorum.
15. When a Safeguarding Concern relates to a member of staff, the Deputy Director of HR (or their nominee from HR) must be included in the quorum.
16. When a Safeguarding Concern relates to research activity, the Convener of the University Ethics and Research Committee (or their nominee) must be included in the quorum.
17. When a Safeguarding Concern relates to an issue involving a DUSA society, the DUSA Advice and Support Coordinator (or their nominee) may be included in the quorum.

Who will normally consider and respond to a Safeguarding Concern?

18. Normally Safeguarding Concerns will be considered by the following members of the SAG:
 - Director of Student Services
 - Assistant Director of Student Services
 - Res-Life Manager
 - Head of Campus Security
 - Chair (the Director of Legal or their nominee).

THE PROTOCOL

RAISING A SAFEGUARDING CONCERN

Who can raise a Concern?

19. Anyone can raise a Safeguarding Concern about a student, member of staff or another member of the University Community.

How can a Safeguarding Concern be raised?

20. The recommended way to raise a Safeguarding Concern, to ensure that it is dealt with quickly, is by filling in the Raising a Concern Form which can be found here: [Raising a Concern Form](#).

However, it is acknowledged that not everyone will want to do that (please see paragraph 21. below). Raising Concern Forms can be obtained from the Enquiry Centre and staff there are available to support anyone who would like to raise a Safeguarding Concern. A copy of the form can also be found at Annex 3.

21. If an individual would like to raise a Safeguarding Concern but would prefer not to fill in a form then they can send details of that Safeguarding Concern in an email to safeguarding@dundee.ac.uk. They can also speak to anyone at the Enquiry Centre or another member of staff that they trust who can pass the Safeguarding Concern on to Student Services or Human Resources.
22. If an individual has been the victim of a crime or thinks they have witnessed one, they are encouraged to report the matter to the police.
23. In an emergency, or if the matter is urgent, a Safeguarding Concern should be reported to the police (if it is a criminal matter), or any member of staff who is trusted by the person raising the Safeguarding Concern.
24. If a Safeguarding Concern arises when a member of the University Community is not in the UK, for example, if they are carrying out research overseas, then they should carefully consider who the matter should be reported to. For example, in certain circumstances, contacting the local police force may result in more harm being caused.

What information should a person raising a Safeguarding Concern provide?

25. A person raising a Safeguarding Concern should provide a full and accurate description of the incident(s) to enable the University to consider the allegations or concerning issues thoroughly.

Anonymous reports

26. Safeguarding Concerns that are reported anonymously can limit the University's ability to take action as it will be more difficult to investigate and gather evidence. The University always encourages anyone reporting Safeguarding Concerns to be made known. The University will treat such matters seriously and sensitively.

Information and support

27. Anyone who wishes to raise a Safeguarding Concern but is not sure what to do or would like to discuss it first, should contact Student Services via the Enquiry Centre for further information and support or by emailing safeguarding@dundee.ac.uk.

Information from Police Scotland

28. Sometimes the University receives information from Police Scotland to the effect that a student or member of staff is subject to an investigation or has been charged with a criminal offence. When information like this is received it should be passed on to the SAG for consideration without delay.

CONCERNS RAISED ABOUT STUDENTS

Stage 1 - Initial assessment

What will Student Services do when a Safeguarding Concern is raised?

29. When a Safeguarding Concern is raised, Student Services will carry out an initial assessment to decide upon what actions, if any, require to be taken. Part of that initial assessment will be to decide whether the Safeguarding Concern constitutes a Serious Issue.
30. Student Services will make one of the following decisions:
- to take no further action
 - to take informal action
 - to speak to the student(s) involved to get more information and/or offer support
 - to take action under the Student Discipline Procedure (Express Process)
 - to determine that the Safeguarding Concern raised is a Serious Issue.
31. If Student Services determine that the Safeguarding Concern raised is a Serious Issue then the matter should be referred to Stage 2 - SAG assessment.
32. If Student Services feels unable to reach an outcome for whatever reason, they can escalate the matter to the SAG.

Stage 2 - SAG assessment

Review of information

33. When a Serious Issue is reported to the SAG, they will carry out a review of the information referred to them to determine what actions, if any, require to be taken. A review can be carried out at a face-to-face meeting, by informal electronic means (such as email or using MS Teams) or by telephone conference.
34. Details of what evidence SAG need to properly consider a Serious Issue can be found at Annex 2.
35. A review will include consideration of the following factors:
- the nature of the Serious Issue
 - the time that has passed since the Serious Issue occurred
 - any relevant evidence
 - any on-going criminal process
 - the level of risk of harm to others, including children and vulnerable adults.

Outcomes at this stage

36. Once the above factors have been considered, the SAG may do one or more of the following:
- take no further action

- obtain all such information or opinions as it may consider desirable
- speak to the student(s) involved to obtain further information and/or offer support
- refer the matter to DUSA or the Sports Union
- refer the matter to the police or a local authority
- recommend that another process is followed such as the University's Student Discipline Procedure, Fitness to Practise Procedure or Dignity at Work and Study Policy
- carry out a risk assessment – see below.

Risk assessment

37. The SAG may decide that a risk assessment requires to be carried out to assist them to determine:
- whether there is enough evidence in support of the Serious Issue for a decision to be made
 - the level of risk the student presents to themselves and the University Community; and
 - whether any appropriate action requires to be taken to safeguard the University Community.

Part of this will usually involve inviting the student to a meeting to discuss the nature of the Serious Issue and the other factors listed in Annex 2.

38. Once the meeting has taken place, the SAG will consider all of the information it has before it and complete a risk assessment by considering the factors listed in Annex 2.

Outcomes following a risk assessment

39. Once a risk assessment has been completed, the SAG will decide upon one or more of the following outcomes:
- to take no further action
 - to obtain additional information or opinions as it may consider desirable
 - to recommend and/or arrange for supportive measures to be put in place for the student
 - to decide that the matter requires on-going review
 - to take precautionary action
 - refer the matter to the police or a local authority
 - to signpost the matter to another University process (Stage 3)
 - to refer the matter to DUSA or the Sports Union
 - to recommend to the Director of ACG that disciplinary action should be taken in terms of the Student Discipline Procedure (Stage 3).
40. If the SAG feels unable to reach an outcome for whatever reason, they can escalate the matter to the Director of ACG (Stage 3).

Precautionary Action

41. The SAG may decide that precautionary action is deemed necessary to:

- ensure that a full and proper investigation can be carried out (either by the police or the University)
 - protect the complainer or others whilst the allegation is being dealt with as part of a criminal or disciplinary process; or
 - protect any other relevant or interested party.
42. Further details for the SAG to consider when taking precautionary action can be found at Annex 2.
43. If it is deemed to be necessary and proportionate in the circumstances to suspend or exclude a student from all or part of campus, SAG will normally make a recommendation to the Director of ACG and/or the University Secretary so that they can consider the matter and take action as required.

Stage 3 - Other University process or procedure

Student Discipline Procedure

44. If SAG decide that disciplinary action should be taken then they can recommend to the Director of ACG that the matter should be progressed by them (or their nominee) under the Student Discipline Procedure (SDP).
45. The Director of ACG (or their nominee) will consider the recommendation from the SAG and, if they agree with the SAG's recommendation, they should initiate the Student Discipline Procedure. If the Director of ACG (or their nominee) does not agree with the recommendation they should provide feedback to the SAG explaining the reasons why and what action, if any, they have decided to take.
46. Alternatively, the SAG may decide that it is appropriate for the Safeguarding Concern to be referred to the relevant School to consider and address under the SDP.

Signposting to another University process

47. In some instances the SAG may feel it is appropriate to signpost the matter to another University process. If that is the case then the SAG will make a recommendation to the relevant School or Directorate so that they can take the matter forward.

Referring the matter to DUSA or the Sports Union

48. If the matter relates to an issue involving a DUSA Society or a Sports Union club, SAG may feel it is appropriate to refer the matter to DUSA or the Sports Union to be taken forward under their own processes and procedures.

Escalation to Director of ACG

49. The SAG may escalate matters to the Director of ACG if they are unable to reach a decision on what the outcome of stage 2 should be or are unclear which of the University's other processes should be followed.

CONCERNS RAISED ABOUT MEMBERS OF STAFF OR OTHER MEMBERS OF THE UNIVERSITY COMMUNITY

What will happen when a Safeguarding Concern is raised about a member of staff or another member of the University Community?

Stage 1 - Review of Information

50. When a Safeguarding Concern is raised via the online Raising a Concern Form, or a Safeguarding Concern is reported to SAG in any other way, a sub-group of SAG will carry out a review of the information received to decide upon what actions, if any, require to be taken. A review can be carried out at a face-to-face meeting, by informal electronic means (such as email or using MS Teams) or by telephone conference.
51. Details of what evidence SAG need to properly consider a Safeguarding Concern can be found at Annex 2.
52. A review will include consideration of the following factors:
 - the nature of the Safeguarding Concern
 - whether the Safeguarding Concern amounts to a Serious Issue
 - the time that has passed since any relevant incident occurred
 - any relevant evidence
 - any on-going criminal process
 - the level of risk of harm to others, including children and vulnerable adults.

Outcomes at this stage

53. Once the above factors have been considered, the SAG may do one or/more of the following:
 - take no further action
 - obtain all such information or opinions as it may consider desirable
 - speak to any individuals involved to obtain further information and/or offer support
 - refer the matter to the police or a local authority
 - recommend that another process is followed such as the Discipline Procedure or the Dignity at Work and Study Policy (stage 2 below)
 - carry out a risk assessment – see below.

Risk assessment

54. If the SAG considers that the Safeguarding Concern amounts to a Serious Issue, they may decide that a risk assessment requires to be carried out to assist them to determine:
 - whether there is enough evidence in support of the Serious Issue for a decision to be made
 - the level of risk the individual presents to themselves and the University Community; and
 - whether any appropriate action requires to be taken to safeguard the University Community.

Part of this will usually involve inviting the individual to a meeting to discuss the nature of the Serious Issue and the other factors listed in Annex 2.

55. Once the meeting has taken place, the SAG will consider all of the information it has before it and complete a risk assessment by considering the factors listed in Annex 2.

Outcomes following a risk assessment

56. Once a risk assessment has been completed, the SAG will decide upon one or more of the following outcomes:
- to take no further action
 - to obtain additional information or opinions as it may consider desirable
 - to recommend and/or arrange for supportive measures to be put in place for the individual
 - to decide that the matter requires on-going review
 - to take precautionary action
 - refer the matter to the police or a local authority
 - to signpost the matter to another University process (Stage 3)
 - to recommend to the Director of HR (or their nominee) that disciplinary action should be taken in terms of the Student Discipline Procedure (Stage 3).
57. If the SAG feels unable to reach an outcome for whatever reason, they can escalate the matter to the Director of HR or their nominee (Stage 3).

Precautionary Action

58. The SAG may decide that precautionary action is deemed necessary to:
- ensure that a full and proper investigation can be carried out (either by the police or the University)
 - protect the complainer or others whilst the allegation is being dealt with as part of a criminal or disciplinary process; or
 - protect any other relevant or interested party.
59. Further details for the SAG to consider when taking precautionary action can be found at Annex 2.
60. If it is deemed to be necessary and proportionate in the circumstances to suspend or exclude a student from all or part of campus, SAG will normally make a recommendation to the Director of HR and/or the University Secretary so that they can consider the matter and take action as required.

Stage 2 - Other University process or procedure

Discipline Procedure

61. If SAG decide that disciplinary action should be taken then they can recommend to the Director of HR that the matter should be progressed by them (or their nominee) under the Discipline Procedure (DP).
62. The Director of HR (or their nominee) will consider the recommendation from the SAG and, if they agree with the SAG's recommendation, they should initiate the Discipline Procedure. If the Director of HR (or their nominee) does not agree with the recommendation they should provide feedback to the SAG explaining the reasons why and what action, if any, they have decided to take.
63. Alternatively, the SAG may decide that it is appropriate for the Safeguarding Concern to be referred to an appropriate person in the relevant School or Directorate to be considered and addressed under the DP.

Signposting to another University process

64. In some instances the SAG may feel it is appropriate to signpost the matter to another University process. If that is the case then the SAG will make a recommendation to the relevant School or Directorate so that they can take the matter forward.

Escalation to Director of HR

65. The SAG may escalate matters to the Director of HR (or their nominee) if they are unable to reach a decision on what the outcome of stage 1 should be or are unclear which of the University's other processes should be followed.

REFERRALS TO THE POLICE

66. The University will usually allow the person reporting a Serious Issue to decide whether or not to report the matter to the police. If that person is a member of the University Community then the University will support them to do that. If they decide not to report the matter to the police then the University will respect that decision and will only act contrary to their wishes in exceptional circumstances.

WHAT SUPPORT IS AVAILABLE TO THOSE WHO MAY BE AFFECTED BY THIS PROTOCOL OR A SAFEGUARDING CONCERN?

67. Student Services have a team in the Enquiry Centre who are there to provide support and advice, and direct students to other services. Further details of the range of support services that are in place to help students can be found on the Student Services web pages <https://www.dundee.ac.uk/student-services/>. These include the University Health Service, the Counselling Service, Disability Services and support for student related Gender Based Violence issues. Additional online resources are available on the Live Smart website here: <https://libguides.dundee.ac.uk/livesmart>

Students can also seek independent legal advice at their own expense.

68. Human Resources and Organisational Development have a Resilience Package which highlights sources of help that are available to members of staff. It can be found by using this link: [Resilience Package](#).
69. In addition, support is available from the following:
- Occupational Health <https://www.dundee.ac.uk/safety/occhealth/>
 - Your School Office <https://www.dundee.ac.uk/corporate-information/academic-schools>
 - Human Resources <https://www.dundee.ac.uk/hr/>
 - Equality, Diversity & Inclusion Office <https://www.dundee.ac.uk/equality-diversity/>
 - DUSA <https://www.dusa.co.uk/advice>
 - Chaplaincy <https://www.dundee.ac.uk/chaplaincy/>

DATA PROTECTION

70. All records and correspondence relating to this protocol, Safeguarding Concerns and related procedures or any other matter contained in this protocol will be securely collected, processed and stored in accordance with the University's Data Protection Policies and Procedures. In addition to how the University processes personal data

under this protocol, the University may process personal data according to its other applicable privacy policies. These policies can be found at the following links:

[Privacy Notice for Students](#); and

[Privacy notice for Criminal Conviction Data | University of Dundee. UK](#)

The University recommends that anyone engaging with this protocol reviews these policies and if they have any concerns contact the University Data Protection Officer.

Please note that any personal data, including special category personal data and criminal offence data, collected and processed by the University in relation to this protocol may be shared publicly by the University in an anonymised, aggregated form. In doing so, all individual identifiers will be removed, and the data will not be able to be linked back to an individual or contain any other identifying information. If anyone is concerned about their data being used in this way, they should contact the University Data Protection Officer.

EFFECTIVE DATE

71. This protocol was first approved by the University Secretary and became effective from 1 February 2020. The protocol was updated with effect from 14 June 2024.

ANNEX 1

Definitions used in the Safeguarding Protocol

Director of ACG	Director of Academic and Corporate Governance
DUSA	Dundee University Students' Association
Enquiry Centre	the Enquiry Centre run by the University's Student Services
External Speaker	means an individual who is not a member of the University Community who has been, or is to be, invited to speak on campus.
External Speaker Request	a request made by an organiser of an event taking place on the University's premises that involves a concerning or controversial External Speaker and that must be considered and responded to by members of the SAG in line with the External Speakers and Events Protocol.
External Speakers and Events Protocol	The University's External Speakers and Events Protocol as updated from time to time.
Fitness to Practise Procedure	The University's Fitness to Practise Procedure as updated from time to time which can be found here . https://www.dundee.ac.uk/governance/dca/fitness-to-practise/
Raising a Concern Form	a form created to capture information relating to a concern about a member of the University Community which should be submitted to Student Services or emailed to safeguarding@dundee.ac.uk an example of which can be found at Annex 3.
Safeguarding Policy	The University's Safeguarding Policy as updated from time to time.
Safeguarding Concern	A Safeguarding Concern could arise when an individual is being subject to or is at risk of abuse, neglect or harm; an individual is or is at risk of being radicalised; an individual has abused, neglected or caused harm to someone else; or an individual's behaviour is considered to be a Serious Issue.
SAG	Safeguarding Advisory Group
Serious Issue	an issue, whether actual or alleged, reported under this protocol, which in the judgement of the University (i) has or could result in serious or significant harm to students, staff or other members of the University community or the public who come into contact with the University, such as a criminal offence, serious damage to property and/or significant harm to the University's work or reputation; and/or (ii) is likely to result in a breach of the University's policies and procedures.
Student Discipline Procedure	The University's Student Discipline Procedure as updated from time to time which can be found here . https://www.dundee.ac.uk/governance/dca/discipline/
Student Services	the University's Student Services
University Community	the University Community means staff, students, associate staff, associate students, visitors, contractors, research participants and other members of the public who come into contact with the University and its work

ANNEX 2

Factors for the SAG to consider when considering Safeguarding Concerns, including Serious Issues, and making decisions in terms of the Safeguarding Protocol

Details of what evidence the SAG need to properly consider a case

Any evidence will always be considered using the civil standard of proof which is on the balance of probabilities. This means that the SAG will consider whether the occurrence of the reported matter was more likely than not.

General

The SAG will carry out an initial assessment even if the only information available to them is that a Safeguarding Concern has arisen or that a student or member of staff or another member of the University Community (“the Individual”) is being investigated by the police. However, it will be difficult for the SAG to properly assess the matter when there is very little information about it. Ideally the following should be provided to the SAG when a Safeguarding Concern is referred to them:

- a completed “Raising a Concern” form;
- a statement from the person raising the Concern (the complainer);
- a statement from any witness;
- details of any criminal proceedings, including important dates;
- any supporting documentary evidence.

A statement from the person raising the Safeguarding Concern is helpful to provide more information to the SAG and to assist with the risk assessment. A statement should include:

- the complainer’s details such as name, course, and year of study (if applicable)
- how the complainer knows the Individual;
- details of the Safeguarding Concern including what happened, when, where and details of any witnesses;
- who the complainer has reported the Safeguarding Concern to, for example, the police;
- what impact the Safeguarding Concern has had or is having on the complainer;
- whether the complainer has had contact with the Individual since;
- whether the complainer feels they are at risk of harm or injury from the Individual;
- what measures, if any, does the complainer feel would make them feel safer on campus;
- what supports does the complainer have in place;
- what outcome would the complainer like to see as a result of raising the Serious Issue.

Meetings with students or other members of the Community

Factors to be discussed at a meeting between the SAG and the Individual will include:

- the nature of the Safeguarding Concern;
- details of any on-going criminal proceedings;
- the Individual’s comments on the Safeguarding Concern raised;
- whether the Individual is receiving appropriate support;
- whether the Individual feels safe on campus;

- how the Individual is getting on academically (if applicable);
- whether other students, staff or members of the Community are involved and the level of contact the Individual has with them;
- the possible outcomes.

Risk assessments

If the matter is considered to be a Serious Issue, then the SAG may carry out a risk assessment. Factors to consider when carrying out a risk assessment:

- the nature of the Serious Issue;
- the time that has passed since the Serious Issue occurred;
- details of any on-going criminal proceedings;
- whether there are any bail conditions;
- the strength of evidence in support of the Serious Issue;
- the student's comments about the Serious Issue;
- any documentary evidence provided by the student;
- the circumstances leading up to the Serious Issue;
- whether there are concerns relating to the Individual's welfare, safety, and/or academic performance;
- whether the Serious Issue relates to another student or group of students;
- the likelihood of the Individual and the complainer(s) coming into contact;
- the impact the Serious Issue has had or is having on others;
- the likelihood of the Serious Issue being repeated;
- the consequences if the behaviour is repeated;
- whether the Serious Issue took place on campus;
- whether the Serious Issue is an isolated matter or a pattern of behaviour;
- whether the student has shown any insight, regret, or apology;
- whether the student has tried to conceal any wrongdoing;
- whether the student has co-operated with this process;
- what the level of risk is considered to be;
- whether precautionary measures are necessary to safeguard the University community;
- the impact that precautionary measures would have on the Individual.

How the SAG will consider different types of cases

Cases involving allegations made by one student against another student

These types of cases can be very difficult to manage because the University:

- owes a duty of care to both students
- may wish to take steps to protect both students from harm
- wishes to provide education to both students.

This means the conflicting rights and interests of both students require to be balanced when considering what action to take.

The SAG may have no option but to decide that, on the balance of probabilities, there is insufficient evidence to take any action. This may happen when the evidence is evenly balanced, for example, in cases where it is one student's word against another.

Cases where an Individual is subject to a criminal process

Any criminal process will take priority over the University's internal disciplinary process. Save for taking precautionary action, any internal disciplinary process should be put on hold until the criminal process is at an end.

Cases where (i) a Serious Issue could constitute a criminal offence but has not been reported to the police; and (ii) where a criminal process has concluded

Cases such as these should be dealt with on a case-by-case basis as a potential breach of discipline and not as a criminal offence.

The SAG may consider these cases and make a recommendation to the Director of Academic and Corporate Governance after considering (i) whether the alleged facts and matters occurred on the balance of probabilities; (ii) whether the alleged facts and matters amount to a breach of discipline; and (iii) what sanction, if any, should be imposed.

In some cases, the SAG may recommend that the University's Fitness to Practise procedure is followed if the student is in one of the Schools to which that procedure applies.

Precautionary action in the case of a student

Precautionary action under this protocol should follow the procedure as set out paragraphs 17. to 24. of the Student Discipline Procedure. Precautionary action can include immediate suspension for all, or part of a student's studies. It can also include conditions.

Precautionary action in the case of a member of staff

Precautionary action under this protocol should follow the procedure as set out in paragraph 10. of the Discipline Procedure for members of staff. Precautionary action can include a period of suspension.

ANNEX 3



Strictly Confidential

RAISING A CONCERN – PART A

Not all sections of this form will be relevant to your concern and will therefore not need completing.

Details of Person Raising the Concern

Student	<input type="checkbox"/>	Staff Member	<input type="checkbox"/>	Other	<input type="checkbox"/>						
Name											
School/Directorate											
Student ID Number											
Course of Study and Year of Study											
Contact Telephone No.											
Email Address (if staff or student please use your University email address)											
Address											
Which contact details would you prefer we used?		<table border="1"> <tr> <td>Email address</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Telephone no.</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Address</td> <td><input type="checkbox"/></td> </tr> </table>				Email address	<input type="checkbox"/>	Telephone no.	<input type="checkbox"/>	Address	<input type="checkbox"/>
Email address	<input type="checkbox"/>										
Telephone no.	<input type="checkbox"/>										
Address	<input type="checkbox"/>										

Details of Person Concern Relates to

Student	Yes <input type="checkbox"/>	Staff Member	Yes <input type="checkbox"/>
Name			
School/Directorate (if known)			

How do you know this person? (e.g. friend, flatmate, partner, work colleague, stranger etc)	
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Concerns

What are your concerns about this individual? Please provide as much information as possible including dates, where any incident occurred etc.	
Where did the concerns come from? For example, have you witnessed the individual's behaviour?	
Is the individual aware of your concerns?	Yes <input type="checkbox"/> No <input type="checkbox"/>
If yes, please give further information	
Have you spoken to anyone else about your concerns? (e.g. your School, the police etc)	Yes <input type="checkbox"/> No <input type="checkbox"/>
If yes, who and when?	
Are there are other witnesses who can be contacted?	Yes <input type="checkbox"/> No <input type="checkbox"/>
If yes, please provide their details:	

Support (if relevant)

Do you feel you have enough support in place?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If no, please provide details of the support you would like to access:		

Supporting Evidence

Can you supply any supporting evidence?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If so, please attach it to this form and list it below (supporting evidence may include screenshots, emails, photographs etc)		

Outcome

Please provide a brief outline of the outcome you are hoping can be achieved by raising this concern.

I confirm that:

- the information provided within this form is accurate.

I understand that:

- this information, along with any supporting documents, may be seen by parties named on this form and by relevant University Schools and Directorates as required to ensure any risks are managed and safety maintained.
- the information will not be disclosed to any other third party and will not be used outside of the University, unless required by law or in an emergency.
- the information may be used if Disciplinary action is required by the University (see <https://www.dundee.ac.uk/governance/dca/discipline/>).
- information submitted anonymously will be considered but action will only be taken if there is enough information to enable the University to make further enquiries.

- Information will be processed by the University in accordance with its privacy notices.

Privacy notices are available to view at: <https://www.dundee.ac.uk/information-governance/dataprotection/>.

Signature	
Print Name	
Date	

Please email this form to Safeguarding@dundee.ac.uk

For Office Use:	Reference:
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